



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RE THE APPLICATION OF: **Mandell et al.**SERIAL NO.: **09/767,460**FILED: **JANUARY 23, 2001**

FOR: **ALGORITHMIC DESIGN OF  
PEPTIDES FOR BINDING AND/OR  
MODULATION OF THE FUNCTIONS OF  
RECEPTORS AND/OR OTHER PROTEINS**

ART UNIT: **1646**

EXAMINER: **NOT YET  
ASSIGNED**

**RESPONSE TO NOTICE TO COMPLY**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice dated May 3, 2001, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

- Response to Notice to Comply with Requirements for Patent Applications Containing Nucleotide and/or Amino Acid Sequence Disclosure;
- Statement Regarding Sequence Submission (including Sequence Listing and Disk)
- Return postcard.

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**CERTIFICATE OF MAILING**  
(37 C.F.R. §1.8a)

Date of Deposit:

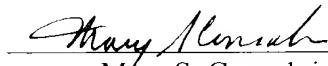
Name of Person Mailing Paper:

*Nora Durant*

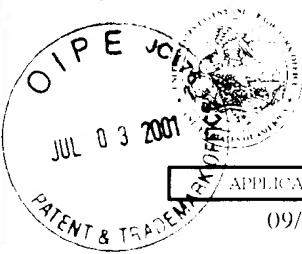
The U.S. Patent and Trademark Office is hereby authorized to charge any fee or fee deficiency to our Deposit Account No. 08-3038. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 08-3038 referencing docket number 01561-0002-CNUS01. A duplicate copy of this Transmittal is enclosed.

Respectfully submitted,

Date: June 29, 2001

  
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/767,460	01/23/2001	Arnold J. Mandell	01561,0002.CPUS01

CONFIRMATION NO. 2132

FORMALITIES LETTER



\*OC000000006038892\*

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Date Mailed: 05/03/2001

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES**

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
- **For PatentIn Software Program Help, call (703) 306-4119 or e-mail at**  
**patentin21help@uspto.gov**

*A copy of this notice MUST be returned with the reply.*

*AC*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE